# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company [

or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. [ ]

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new

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		Washington, D.C. 20549	
		FORM 8-K	
		CURRENT REPORT	
	Pursuant to Sec	tion 13 or 15(d) of the Securities Exchan	ge Act of 1934
	Date of	Report (Date of earliest event Reported): April 4, 2	2019
		<b>PennantPark Floating Rate Capital Ltd.</b> Exact Name of Registrant as Specified in Charter)	
(State	<b>Maryland</b> or Other Jurisdiction of Incorporation)	<b>814-00891</b> (Commission File Number)	27-3794690 (I.R.S. Employer Identification Number)
		Madison Avenue, 15th Floor, New York, NY 100 Address of Principal Executive Offices) (Zip Code)	22
	(I	<b>212-905-1000</b> Registrant's telephone number, including area code)	
	(Form	<b>Not Applicable</b> er name or former address, if changed since last rep	port)
	e appropriate box below if the Form 8-K fili g provisions:	ng is intended to simultaneously satisfy the filing ol	oligation of the registrant under any of the
[]	•	e 425 under the Securities Act (17 CFR 230.425) 12 under the Exchange Act (17 CFR 240.14a-12)	

### Item 7.01. Regulation FD Disclosure.

On April 4, 2019, PennantPark Floating Rate Capital Ltd. issued a press release announcing its monthly distributions. A copy of the press release is furnished as Exhibit 99.1 to this Form 8-K.

The information in this report on Form 8-K, including Exhibit 99.1 furnished herewith, is being furnished and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or the Exchange Act, or otherwise subject to the liabilities of such section. The information in this report on Form 8-K shall not be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Securities Act, or under the Exchange Act, except as shall be expressly set forth by specific reference in such filing.

## **Forward-Looking Statements**

This report on Form 8-K, including Exhibit 99.1 furnished herewith, may contain "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995. You should understand that under Section 27A(b)(2)(B) of the Securities Act and Section 21E(b)(2)(B) of the Exchange Act the "safe harbor" provisions of the Private Securities Litigation Reform Act of 1995 do not apply to forward-looking statements made in periodic reports PennantPark Floating Rate Capital Ltd. files under the Exchange Act. All statements other than statements of historical facts included in this press release are forward-looking statements and are not guarantees of future performance or results and involve a number of risks and uncertainties. Actual results may differ materially from those in the forward-looking statements as a result of a number of factors, including those described from time to time in filings with the Securities and Exchange Commission. PennantPark Floating Rate Capital Ltd. undertakes no duty to update any forward-looking statement made herein. You should not place undue influence on such forward-looking statements as such statements speak only as of the date on which they are made.

#### Item 9.01. Financial Statements and Exhibits.

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release of PennantPark Floating Rate Capital Ltd. Dated April 4, 2019

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PennantPark Floating Rate Capital Ltd.

By: <u>/s/ Aviv Efrat</u> Aviv Efrat

Date: April 4, 2019

Chief Financial Officer & Treasurer



## PennantPark Floating Rate Capital Ltd. Announces Monthly Distributions of \$0.095 per Share

NEW YORK, April 04, 2019 (GLOBE NEWSWIRE) -- PennantPark Floating Rate Capital Ltd. (the "Company") (NASDAQ: PFLT) (TASE: PFLT) declares its monthly distributions for April 2019 of \$0.095 per share, payable on May 1, 2019 to stockholders of record as of April 17, 2019. Distributions are paid from taxable earnings and may include a return of capital and/or capital gains. The specific tax characteristics of the distribution will be reported to stockholders on Form 1099 after the end of the calendar year and in the Company's periodic report filed with the Securities and Exchange Commission.

"We are pleased that we have been able to continue to maintain a steady and stable dividend over a long period of time," said Art Penn, Chairman and Chief Executive Officer.

The Company, which operates as a regulated investment company ("RIC"), generates qualified interest income and short-term capital gains that may be exempt from U.S. withholding tax when distributed to non-U.S. stockholders. The U.S. tax law permits a RIC to report the portion of distributions paid that represents interest-related dividends as exempt from U.S. withholding tax when paid to non-U.S. stockholders with proper documentation.

The specific tax characteristics of this distribution can be found on our website www.pennantpark.com.

## ABOUT PENNANTPARK FLOATING RATE CAPITAL LTD.

PennantPark Floating Rate Capital Ltd. is a business development company which primarily invests in U.S. middle-market private companies in the form of floating rate senior secured loans, including first lien secured debt, second lien secured debt and subordinated debt. From time to time, the Company may also invest in equity investments. PennantPark Floating Rate Capital Ltd. is managed by PennantPark Investment Advisers, LLC.

### FORWARD-LOOKING STATEMENTS

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The information contained herein is based on current tax laws, which may change in the future. The Company cannot be held responsible for any direct or incidental loss resulting from applying any of the information provided in this publication or from any other source mentioned. The information provided in this material does not constitute any specific legal, tax or accounting advice. Please consult with qualified professionals for this type of advice.

### **CONTACT:**

Aviv Efrat PennantPark Floating Rate Capital Ltd. (212) 905-1000 www.pennantpark.com